



## Validation / verification / certification application form

### 审定/核查服务申请表

Doc No. 文件编号: CGC-VVF0202

Dear Client, 尊敬的委托方:

Thank you for your trust and support towards us. According to our management regulations, kindly provide all the requested information on this application form in a complete, truthful, and accurate manner. We will assess the information you provide and make a decision on whether to accept this application.

感谢您对本机构的信赖和支持。根据本机构的管理规定,请您完整、真实、准确提供本申请单所要求的各项信息。本机构将根据您提供的信息进行评估,并做出是否接受本次委托的决定。

China General Certification Center 北京鉴衡认证中心有限公司

#### Instructions 填报说明:

1、 This form is intended for the client to submit an application for validation / verification / certification services to China General Certification Center (hereinafter referred to as CGC). The scope of applications includes but is not limited to verification of organization level GHG emission, validation / verification / certification of project level GHG emission reductions or removal enhancements, including under or not under GHG mechanisms (such as Article 6.4 of the Paris Agreement, China's voluntary greenhouse gas reduction mechanism CCER, etc.), other validation / verification, etc.

本表格用于委托方向北京鉴衡认证中心有限公司(以下简称CGC)提出审定/核查服务申请。申请事项包括但不限于:组织温室气体排放核查、项目温室气体减排量或清除量审定/核查,包括在温室气体机制(如《巴黎协定》A6.4、中国温室气体自愿减排机制CCER等)下的审定/核查、其它审定/核查等;

2、 This form is divided into four sections: Part One, Client and Contract Information; Part Two, Proposed Project Information; Part Three, Proposed Validation / Verification / Certification Information; Part Four, Acknowledgment and Additional Information. The client is required to complete all relevant sections based on the intended validation / verification / certification service application (pay attention to section headings). Incomplete submissions may result in CGC rejecting the application.

本表格分为四个部分:第一部分,委托方及合同信息;第二部分,拟审定/核查项目信息;第三部分,拟审定/核查事项信息;第四部分,回执及附加信息。委托方需要根据拟申请的审定/核查服务,填写相关部分的全部内容(请留意相关部分的标题)。不完整的填报可能导致CGC拒绝接受本次申请;

3、 In addition to completing this form, the client is also required to provide draft versions statement which to be validated / verified / certificated (e.g., GHG emission report, Project Design Document PDD, Monitoring Report MR). Failure to do so may result in CGC rejecting the application.

除填写本表格外,委托方还需提供拟申请审定/核查服务的草稿版宣称(例如:温室气体排放报告、项目设计文件PDD、监测报告MR等),否则CGC可能拒绝接受本次申请;

4、 If there are any questions during the filling process, the client may contact CGC for assistance using the information provided in this form.

在填报过程中如有任何疑问,委托方可通过本表格中的信息与CGC联系以获得协助。



**Part One: Client and Contract Information 第一部分：委托方及合同信息**

**Client information 委托方信息**

Name 名称: \_\_\_\_\_ (keep consistent with the business license 请与营业执照一致)

Contact information 联系信息: Name of representative 姓名 \_\_\_\_\_ Tel. 电话

Email 邮箱 \_\_\_\_\_

**Contract party information 审定/合同签约方信息**

Contract party name 审定/核查合同签约方名称: \_\_\_\_\_ (keep consistent with the business license 请与营业执照一致)

**If client requests two types of contracts for the technical aspect and payment arrangement separately, please provide the names of the respective contracting parties 如果由不同签约方分别承担审定/核查服务的技术和支付责任, 请分别提供签约方名称**

Contract party of technical aspect 技术责任方名称: \_\_\_\_\_ (keep consistent with the business license 请与营业执照一致)

Contract party of payment arrangement 支付责任方名称: \_\_\_\_\_ (keep consistent with the business license 请与营业执照一致)

**Requirements for assurance level and materiality thresholds (leave blank if not applicable) 本次审定/核查对实质性阈值和保证等级的要求 (如暂无, 可不填写)**

Assurance level (verification only) 保障等级 (仅核查):  Reasonable assurance 合理保障等级  limited assurance 有限保障等级

Materiality thresholds 实质性阈值:  5%  10%  Other quantitative 其它定量 (Please provide percentage 请填写百分比) \_\_\_\_\_  Qualitative 定性的 \_\_\_\_\_ (Please specify 请具体说明)

**Contract period 合同期限信息**

Expected starting date of validation / verification / certification 期望的审定/核查开始时间:

Start immediately after contract signing 合同签订后立即开始  start after DD/MM/YYYY 后实施

Is the expected starting date flexible 期望的审定/核查开始时间是否可调整?  Yes 可调整  No 不可调整

No 不可调整

Expected ending date of validation / verification / certification 期望的审定/核查结束时间: DD/MM/YYYY (Note:

*The timeframe for validation / verification / certification is influenced by various factors. CGC will make every effort but does not guarantee completion of the validation / verification / certification before this time. 注: 审定/核查的时限受多方面因素影响, CGC 将尽力但不保证在此时间前完成审定/核查工作)*

**Liability conditions 法律责任信息**

Claimed maximum legal liability that CGC needs to assume for validation / verification / certification contract 审定/核查签约方主张 CGC 需要承担的法律责任上限:

No legal liability pursued 不追究法律责任  \_\_\_\_\_ RMB 人民币

*Note: Please fill in carefully, CGC will provide corresponding quotations based on different legal liabilities. Kindly reminder the liability of the validation / verification / certification service is limited to 2 times the amount of the order value, up to a maximum amount of 200,000 RMB. Liability for indirect damages and consequential damages is excluded. Claimed liability higher than maximum value will result rejection of application.*

*注: 请谨慎填写, CGC 将根据不同的法律责任提供相应的审定/核查报价。请注意审定/核查服务的法律责任为审定/核查合同额的2倍, 最高金额为200000元人民币, 不承担间接损害和后果性损害的责任。主张超过最高金额的法律责任的申请将被拒绝。*

**Other information that the client deems necessary to inform CGC 其它委托方认为有必要告知 CGC 的信息**



北京鉴衡认证中心  
China General Certification Center





**Part Two: Proposed Project Information 第二部分：拟审定/核查项目信息**

**Basic information 基本信息**

Name of project 项目名称: \_\_\_\_\_

Location of project 项目地点: \_\_\_\_\_ Nation 国家 \_\_\_\_\_ Province/State 省/自治区/直辖市 \_\_\_\_\_ City 市/区 \_\_\_\_\_ County 县, Detailed address 具体地址: \_\_\_\_\_

*Note: If there are multiple locations for the project, please list them separately. If the number of project locations is large, please provide a separate table.*

注: 如果项目的地点多于一个, 请分别列出。如果项目地点数量较大, 请附表格列出

**Brief Technical Description of the Project 项目简要技术描述**

\_\_\_\_\_  
(Note: Include brief information about the technologies used in the project, main products, processes employed, scale, etc 注: 包括项目采用的技术、主要的产品、所采用的工艺、规模等简要信息)

**Project Implementation Status 项目实施状态**

- Design phase, not approved, no substantial implementation conducted 设计阶段, 未获得审批, 未开展实质性实施
- Approvals obtained, construction not started, expected commencement on 已完成审批手续, 未开工建设, 预计 DD/MM/YYYY 开工建设
- Construction phase, expected completion on 建设阶段, 预计 DD/MM/YYYY 完成建设
- Construction halted due to reason 建设停工, 原因为 \_\_\_\_\_, expected resumption on 预计 DD/MM/YYYY 复工
- Construction completed, currently in the acceptance/trial operation phase 建设完成, 目前处于验收/试运行阶段
- Commenced commercial operation on 已于 DD/MM/YYYY 投入商业运行

**Stakeholders information 项目相关方信息**

Owner 项目业主方: \_\_\_\_\_ (keep consistent with the approvals 请填写与项目审批手续上的名称一致)

Designer 项目设计方: \_\_\_\_\_ (developers of feasibility study report and/or primary design report 请填写如可行性研究报告、初步设计报告的编制方)

Technology provider 项目技术方: \_\_\_\_\_ (main equipment suppliers, EPC provider, construction providers 请填写主要设备供应商、总包方或施工方等)

Financing provider 项目融资方: \_\_\_\_\_ (main shareholders, lending providers 请填写主要股东方、主要贷款机构等)

Consultant 项目咨询方: \_\_\_\_\_ (developers of draft versions of documents related to the proposed validation / verification / certification service application (e.g., GHG emission report, Project Design Document PDD, Monitoring Report MR) 请填写拟申请审定/核查服务的草稿版文件(例如: 温室气体排放报告、项目设计文件 PDD、监测报告 MR 等)的编制方)

Names of other important stakeholders and their relationship with the project 其它重要相关方名称及与本项目关系: \_\_\_\_\_

**Language requirement 审定/核查工作语音要求**

- Mandarin 中文普通话
- English 英文
- Other language 其它语言: \_\_\_\_\_, Will client provide translator 委托方是否可提供翻译:  Yes 是  No 否

**Safety information and other risk notifications 项目安全信息及其它相关风险提示**



**Part Three: Proposed Validation / verification / certification Information – 3.1 Verification of organization level GHG emission**

**第三部分：拟审定/核查事项信息 – 3.1 组织温室气体排放核查**

**Quantification standard for organization GHG emissions 组织温室气体排放的量化标准**

- ISO14064-1: Specification with guidance at the organization level for quantification and reporting of greenhouse gas emissions and removals 组织层面温室气体排放与清除量化及报告规范
- GHG Protocol A Corporate Accounting and Reporting Standard 企业温室气体排放核算和报告标准
- Guidelines for Accounting and Reporting of GHG Emissions for Enterprises 中国企业温室气体排放核算方法与报告指南
- GB/T 32151 General guidelines of the GHG emissions accounting and reporting industrial enterprise 工业企业温室气体排放核算和报告通则
- Others, please specify 其它: \_\_\_\_\_

**Validation/verification standard 审定/核查标准**

- ISO14064-3 Specification with guidance for the verification and validation of greenhouse gas statements AND CNAS-CV03 Part Three: Norms and Guidelines for the verification and validation of greenhouse gas statements ISO14064-3 温室气体审定与核查要求和指南及温室气体和 CNAS-CV03 第三部分 温室气体声明审定与核查规范和指南
- Other requirements requested by the client 委托方要求的其它标准: \_\_\_\_\_

**Technical area of the project 本项目所属的技术领域**

Code of technical areas 技术领域编号: \_\_\_\_\_

*Note: Please select the code of technical areas from CGC's published technical areas 注: 请从本机构公布的技术领域中选择相应编号*

**Proposed GHG emission period 拟核查的温室气体排放时间段**

DD/MM/YYYY to 至 DD/MM/YYYY

**Proposed GHG emission scopes and quantity 拟核查的温室气体排放范围和排放量**

- Scope 1 范围 1: \_\_\_\_\_ tCO<sub>2</sub>e 吨二氧化碳当量
- Scope 2 范围 2: \_\_\_\_\_ tCO<sub>2</sub>e 吨二氧化碳当量
- Scope 3 范围 3: \_\_\_\_\_ tCO<sub>2</sub>e 吨二氧化碳当量

*Note: The GHG emission report provided by the client should comply with the requirements of CGC's "Verification and Verification Rules (Public Document) 注: 委托方提供的温室气体排放报告应符合本机构《审定与核查规则(公开文件)》的要求*

**Purpose of verification 拟核查的目的:**

- In accordance with legal and regulatory requirements (e.g., carbon market compliance requirements, regulatory disclosure requirements, securities market disclosure requirements, etc.), please specify: 应法律法规要求(如: 碳市场履约要求、法规披露要求、证券市场披露要求等), 请说明: \_\_\_\_\_
- In response to business purposes (e.g., requirements from purchasers, specific initiatives/organizations/associations), please specify: 应商业目的的要求(如: 应采购方要求、应某倡议/组织/协会要求等), 请说明: \_\_\_\_\_
- Voluntary purpose 自愿目的
- Other purposes, please specify: 其它目的, 请说明: \_\_\_\_\_



**Part Three: Proposed Validation / verification / certification Information – 3.2 Validation / verification / certification of project level GHG emission reductions or removal enhancements**

**第三部分：拟审定/核查事项信息 – 3.2 项目温室气体减排或移除审定/核查**

**Is this application a validation / verification of a GHG mechanism? 本次申请是否是某温室气体机制下的审定/核查?**

- No, this application is NOT a GHG mechanism validation/verification 不, 本次申请不是温室气体机制审定/核查
- Yes, this application is a validation/verification of below GHG mechanism 是, 本次申请是以下温室气体机制审定/核查
  - Article 6.4 of the Paris Agreement, Host party and its designated national authority (DNA) 《巴黎协定》A6.4 机制, 本项目的东道国及国家授权机构为\_\_\_\_\_
  - China's voluntary greenhouse gas reduction mechanism 中国温室气体自愿减排机制 CCER
  - Other international/regional/national/subnational GHG mechanism (such as VCS, GCC, GS, provincial GHG mechanism), please specify: 其它国际或地区温室气体机制(如 VCS、GCC、GS、省级温室气体减排机制等), 请说明: \_\_\_\_\_

**In case validation / verification of a GHG mechanism, please specify proposed matters for validation or verification 针对温室气体机制审定/核查, 请说明拟申请的审定或核查事项**

**Validation 审定**

- Registration 项目注册                       Temporary deviations from the registered monitoring 监测计划临时性偏移
- Correction 永久性项目更正
- Changes to the start date of the crediting period 项目计入期开始时间变更                       Inclusion of monitoring plan 制定监测计划
- Permanent changes to the registered monitoring plan 监测计划永久变更或偏移                       Changes to project design 变更项目设计
- Renewal of crediting period 项目计入期更新
- Development of methodology 方法学编制                       Change/Deviation/Clarification of methodology 方法学变更/偏移/澄清

**Verification 核查**

The entity of conducting validation 提供审定的机构名称: \_\_\_\_\_

- Initial verification 减排量或移除量首次核查                       Periodical verification, this is the \_\_\_\_ time of verification 减排量或移除量周期核查, 本次核查是第\_\_\_\_\_次

*Note: If this application includes multiple matters, please select all options 注: 如果本次申请包含多项审定/核查事项, 请多选*

**In case NOT under a GHG mechanism, please specify purpose of this validation / verification 如果本次申请不是温室气体机制下的审定/核查, 请说明目的:**

- In accordance with legal and regulatory requirements (e.g., carbon market compliance requirements, regulatory disclosure requirements, securities market disclosure requirements, etc.), please specify: 应法律法规要求(如: 碳市场履约要求、法规披露要求、证券市场披露要求等), 请说明: \_\_\_\_\_
- In response to business purposes (e.g., requirements from purchasers, specific initiatives/organizations/associations), please specify: 应商业目的的要求(如: 应采购方要求、应某倡议/组织/协会要求等), 请说明: \_\_\_\_\_
- Voluntary purpose 自愿目的
- Other purposes, please specify: 其它目的, 请说明: \_\_\_\_\_





**Technical area of the project 本项目所属的技术领域**

Code of technical areas 技术领域编号: \_\_\_\_\_

*Note: Keep consistent with Sectoral Scope/Technical Area issued by the GHG mechanism, or select from CGC's published technical areas if case not under GHG mechanism. 请与温室气体机制颁布的行业/技术领域保持一致, 如果未参与温室气体机制, 请从本机构公布的技术领域中选择。*

**Quantification standard for project GHG reductions and removes 项目温室气体减排或移除的量化标准**

GHG mechanism Methodology and version number 温室气体机制方法学及版本号: \_\_\_\_\_

Standardized baselines and version number, if any 标准基准线及版本号(如有): \_\_\_\_\_

ISO14064-2 Specification with guidance at the project level for quantification, monitoring and reporting of greenhouse gas emission reductions or removal enhancements 项目层面量化、监测和报告温室气体减排或增加移除规范

GHG Protocol The GHG Protocol for Project Accounting 项目温室气体核算标准

Others, please specify 其它: \_\_\_\_\_

**Validation/verification standard 审定/核查标准**

CGC follows validation/verification standards issued by the GHG mechanism to which the application relates.

If this application is not a GHG mechanism validation/verification, CGC follows ISO14064-3 Specification with guidance for the verification and validation of greenhouse gas statements AND CNAS-CV03 Part Three: Norms and Guidelines for the verification and validation of greenhouse gas statements.

本机构将依据本次申请相应的温室气体机制颁布的审定与核查标准。

如果本次申请不是温室气体机制下的审定/核查, 本机构将依据 ISO14064-3 温室气体审定与核查要求和指南及温室气体和 CNAS-CV03 第三部分 温室气体声明审定与核查规范和指南开展审定/核查。

If the client requires other validation/verification standard, please specify below. 如果客户要求采用其他审定/核查标准, 请在以下说明:

\_\_\_\_\_

**Proposed GHG emission reductions/removes to be validated (for validation only) 拟审定的温室气体年减排量或移除量 (仅审定填写)**

\_\_\_\_\_ tCO<sub>2</sub>e 吨二氧化碳当量

*Note: The Project Design Document provided by the client should comply with the requirements of CGC's "Verification and Verification Rules (Public Document) 注: 委托方提供的温室气体项目设计文件应符合本机构《审定与核查规则(公开文件)》的要求*

**Proposed GHG emission reductions/removes and period to be verified (for verification only) 拟核查的温室气体减排量或移除量时间段及数量 (仅核查填写)**

DD/MM/YYYY to 至 DD/MM/YYYY, GHG emission reductions/removes 减排量或移除量: \_\_\_\_\_ tCO<sub>2</sub>e 吨二氧化碳当量

*Note: The Monitoring Report provided by the client should comply with the requirements of CGC's "Verification and Verification Rules (Public Document) 注: 委托方提供的温室气体项目监测报告应符合本机构《审定与核查规则(公开文件)》的要求*



**Part Three: Proposed Validation / verification / certification Information – 3.3 Other validation / verification / certification**

**第三部分：拟审定/核查事项信息 – 3.3 其它审定/核查**

**Introductions of proposed validation / verification / certification 拟审定/核查事项说明**

\_\_\_\_\_

**Quantification standard for proposed validation / verification / certification 拟审定/核查事项的量化标准或方法学**

\_\_\_\_\_

**Proposed validation / verification / certification standard 审定/核查标准**

\_\_\_\_\_

**Purpose of validation / verification / certification 拟审定/核查的目的：**

- In accordance with legal and regulatory requirements, please specify: 应法律法规要求，请说明： \_\_\_\_\_
- In response to business purposes, please specify: 应商业目的的要求，请说明： \_\_\_\_\_
- Voluntary purpose 自愿目的
- Other purposes, please specify: 其它目的，请说明： \_\_\_\_\_





**Part Four: Statement of impartiality information**

**第四部分：委托方公正性信息声明**

In accordance with China General Certification (CGC)'s impartiality regulations, we kindly request you, and also ask you to represent other persons or organizations engaged in the identification, development, consultancy and financing (hereinafter referred to as "involved persons/organizations") of the project, to provide collaboration information between you and/or involved persons/organizations with CGC for the two years prior to the date of receipt of this letter. "Collaboration" in this context encompasses various forms, such as validation, verification, certification, consultation, advisory, marketing, client referrals, etc. Collaboration is not limited to matters covered by formal contracts or agreements but also includes:

- Collaborative activities involving CGC through other third parties;
- Informal collaborative activities without contract or agreement;
- Collaborative activities conducted by personnel of CGC in their individual capacity.

依据本机构公正性管理规定，现请贵单位，并请贵单位与责任方确认后，配合提供自您和/或责任方收到本函件之日前两年内，与本机构的合作信息。合作包括各种形式，如：审定、核查、认证、咨询、顾问、市场推广、客户引荐等。合作信息不限于双方签订正式合同或协议的事项，也包括：

- 本机构通过其它第三方发生的合作事项；
- 非正式的合作事项；
- 本机构人员以个人身份进行的合作事项。

Please complete the information in the following box 请填写以下信息：

We, and involved persons/organizations, have NOT engaged in any collaborations with CGC in the past two years.  
我单位和/或责任方与贵机构两年内未有合作事项。

We, and/or involved persons/organizations, have engaged in following collaborations with CGC in the past two years:  
我单位和/或责任方与贵机构两年内存在合作事项，信息如下：

ID 序号	Description of collaboration 合作事项	Collaboration period 合作期限
If the number of collaborations is large, please provide a separate table. 如果合作数量较大，请附表格列出。		

We hereby commit that the above information is true and accurate. 我单位承诺以上信息真实准确。



**Thank you for providing the above information. Please complete the signature below and send it to the designated address:**

感谢您提供以上信息，请您完成下方签署后发送至指定地址：

**Signature 填写人（签字）：** \_\_\_\_\_ **Date 时间：** \_\_\_\_\_  
**(DD/MM/YYYY)**

**Digital or scanned document please send to: 电子版、扫描版请发送至：ccssapplication@cgc.org.cn**

**Hardcopy please mail to:**

**CC&SS, CGC, 3F Building 26, Far East Culture Park, No. 6 Hepingli North St., Dongcheng District, Beijing, 100013, P.R.C.**

**Tel: +86 01 59796665**

**或将原件邮寄至：**

**中国 北京市 东城区**

**和平里北街 6 号远东文化园 26 号楼 3 层**

**北京鉴衡认证中心有限公司 气候变化与可持续发展事业部（收） 电话：+86 01 59796665**



**Part Five: Acknowledgment and Additional Information (CGC Uses only) 第五部分，回执及附加信息（由 CGC 填写）**

**Dear client: 尊敬的委托方:**

**Based on the provided validation / verification / certification application information of           (name of project)           project, CGC has thoroughly evaluated and arrived at the following decision:**

根据您提供的          (项目名称)          项目审定/核查申请信息，本机构经慎重评估，做出如下决定：

**We accept this application, and our business personnel will contact you for further arrangements. 接受本次委托，本机构商务人员会与您联系后续事宜。**

**Due to foreseeable and unavoidable reasons, CGC regrets to decline this application, and we appreciate your understanding. 由于可预见且难以避免的原因，本机构谢绝本次委托，请您谅解。**

**China General Certification Center  
北京鉴衡认证中心有限公司**



**Document information 文件版本信息:**

Version 版本号	Description 主要修订内容	Date 修订日期
0	Initial development 首次编制	05/01/2022
01	When applying for emission verification, it is required to include information on different GHG emission scopes and claimed emission volumes. Update SS/TA codes 在申请排放核查时要求增加提供不同温室气体排放范围的信息和宣称排放量。更新行业代码	17/03/2023
02	Add a reminder requesting the client and/or responsible party to prepare emission reports, design documents, and monitoring reports in accordance with the requirements of the CGC's public document. Add the statement, if this application is not a GHG mechanism validation/verification, CGC follows ISO14064-3 Specification with guidance for the verification and validation of greenhouse gas statements AND CNAS-CV03 Part Three: Norms and Guidelines for the verification and validation of greenhouse gas statements.. 增加提示, 要求委托方和/或责任方参照《审定与核查规则(公开文件)》的要求准备排放报告、设计文件、监测报告; 增加“如无特殊要求, 本机构依据《ISO14064-3 温室气体审定与核查要求和指南》及《CNAS-CV03 温室气体 第三部分 温室气体声明审定与核查规范和指南》开展审定/核查活动。如有特殊要求, 请说明”	30/05/2023
03	Include three new SK codes, SK-19.5, SK-20.4, and SK-20.5, specifically for TA related to project emission reduction validation / verification. 行业代码附表中增加 SK-19.5、SK20.4、SK-20.5 三项仅针对减排审定/核查的技术领域	31/05/2023
04	1、 Add application content related to validation / verification services for Article 6.4 of the Paris Agreement and the China Certified Emission Reduction (CCER) mechanism 增加《巴黎协定》A6.4、中国温室气体自愿减排机制 (CCER) 相关审定/核查服务申请内容; 2、 Add notes for completing the form. 增加填报说明; 3、 Format improvement 格式调整; 4、 Integrate the client impartiality information into this form. 将委托方公正性信息整合进本表。	01/09/2023